| Approved For Release 2003/05/15: CIA-RDP96-00789R002500260005-2 ************************************  |  |  |  |  |
|---|--|--|--|--|
| COLLAB: PAA/PAE/PAM/PAN/PO/PGI/PGT/P-AS/P-FM SUSPENSE: 0900 08 MARCH 1994<br>PO-4C 1100 10 MARCH 1994   |  |  |  |  |
| INFO: PC-HQ/PA/PG/PO-2A (FILE)  |  |  |  |  |
| GUIDANCE:   |  |  |  |  |
| PAG: 1. TAKE THE LEAD FOR PC. COORDINATE/CONSOLIDATE INPUTS FROM PC COLLABS (LISTED ABOVE) AS WELL AS INPUT FROM CC, OC, DA, PS, J2, SC AND MC.   |  |  |  |  |
| 2. PREPARE A MEMORANDUM FOR, FOR THE DR SIGNATURE, REPORTING THE STATUS OF AGENCY PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. IF RESPONSE IS NEGATIVE, A WRITTEN RESPONSE IS REQUIRED.                  |  |  |  |  |
| 3. A STAFF SUMMARY SHEET, FOR DIRECTOR NMIPC SIGNATURE, SHOWING THE APPROPRIATE COORDINATION, SHOULD BE INCLUDED AS PART OF THE COMPLETED PACKAGE.  |  |  |  |  |
| 4. COORDINATE THE COMPLETED RESPONSE WITH GC PRIOR TO FORWARDING TO PO-4C.  |  |  |  |  |
| 5. FORWARD COMPLETED PACKAGE TO PO-4C FOR EDITING NLT 0900 10 MARCH.  |  |  |  |  |
| 6. WHEN THE PACKAGE IS RETURNED FROM PO-4C, FORWARD IT TO PC HEADQUAR-<br>TERS FOR SIGNATURE NLT 1200 10 MARCH.   |  |  |  |  |
| 7. UPON COMPLETION, PLEASE PROVIDE CLOSEOUT INFORMATION, VIA HIGGINS, TO SG1J PO-2A/MS. TO CLEAR SUSPENSE: TASKER NUMBER, DATE/TIME COMPLETED, ACTION TAKEN, ACTION OFFICER, AND CORRESPONDENCE CONTROL NUMBER. |  |  |  |  |
| PAG/PAA/PAE/PAM/PAN/PGI/PGT/PO/P-AS/P-FM:   |  |  |  |  |
| 1. PREPARE A WRITTEN RESPONSE INDICATING THE STATUS OF ANY KNOWN NMIPC PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. IF RESPONSE IS NEGATIVE, A WRITTEN RESPONSE IS REQUIRED.                             |  |  |  |  |
| SG1J <sub>2. FORWARD RESPONSES TO PAG POC, NLT 0900 SG1E 8 MARCH.</sub>   |  |  |  |  |
| PO-4C: EDIT/FORMAT THE CONSOLIDATED DIA RESPONSE AND RETURN TO PAG NLT 1100 10 SG1B MARCH.  |  |  |  |  |
| 1500/3MAR94)  |  |  |  |  |
| **************************************  |  |  |  |  |
| TCN : 94-002605; SUBJECT: RECORDS SEARCH - PROTECTION OF HUMAN SUBJECTS IN  |  |  |  |  |
| ACTION: C-AS (C-AS2A2, TAKE FOR ACTION AS NOTED ON 311. PC POC TO BE DETERMINED (CONTACT NEGATIVE REPORTS ARE SG1B  |  |  |  |  |

SEARCH. SEARCH SHOULD FOCUS ON, BUT NOT BE LIMITED TO SPECAT/RODCA TRAFFIC HELD. SAFE SEARCH IS NOT REQUIRED. SG1J S: 10 MAR 94 COLLAB : C-AS/C-FM/CMO/CL/CH PROVIDE NAME/TELEPHONE NUMBER OF ACTION OFFICER TO C-AS2A2 UPON RECEIPT. (TO INCLUDE C-AS) IS REQUIRED TO PROVIDE A WRITTEN RESPONSE IN SG1J THE FORMAT OUTLINED IN THE TASKER. FORWARD SIGNED RESPONSES TO NEGATIVE RESPONSES ARE REQUIRED IN WRITING. RESPONSES OR RESPONSES FROM COLLAB SUBORDINATE ELEMENTS WILL NOT BE ACCEPTED. SG1B S: 8 MAR 94 CC/JRF/3MAR94/1004HRS/ SG1J SG1B SUBJ: RECORD SEARCH-PROTECTION OF HUMAN SUBJECT IN RESEARCH 94-002605 ACTION: C-AS2 C-AS2B3, TAKE FOR ACTION. CC GUIDANCE REMAIN UNCHANGED FROM ABOVE SUSPENSE: 8 MAR 94 SG1J COLLAB: C-AS1, C-AS2, C-AS2A, C-AS2B, C-AS2C, C-AS3 - EACH COLLAB (TO INCLUDE C-AS) IS REQUIRED TO PROVIDE A WRITTEN RESPONSE IN THE FORMAT OUTLINED IN THE TASKER. FORWARD SIGNED RESPONSES TO C-AS2B3, SG1B NEGATIVE RESPONSES ARE REQUIRED IN WRITING. VERBAL RESPONSES OR RESPONSES FROM COLLAB SUBORDINATE ELEMENTS WILL NOT BE ACCEPTED. SG1B SUSPENSE: 7 MAR 94 C-AS/DJL/3MAR94/1555HRS/ PSP: PLS PROVIDE COLLAB SUPPORT FOR PS. SEE SCANNED PAGES. PROVIDE INPUT TO PC POC. SEND HIGNOTE TO WITH CLOSING INFORMATION. DUE: 11 MAR. SG1J P-FM GUIDANCE SG1J P-FM1/2/3 GUIDANCE SUSPENSE: 1200/7 MAR 94 DIVISIONS ARE ASKED TO PROVIDE A SHORT SUMMARY, INDICATING STATUS OF ANY KNOWN P-FM PARTICIPATION IN RESEARCH INVOLVING HUMAN SUBJECTS. SUBMIT VIA HIGGINS (WP 5.1) TO P-FM ACTION OFFICER, . NLT SUSPENSE INDICATED ABOVE. NEGATIVE RESPONSE, IF APPLICABLE, REQUIRED. SG1J SUSPENSE: 0900/8 MAR 94 P-FM GUIDANCE: RECEIVE, CONSOLIDATE AND FORWARD P-FM RESPONSE TO PGT-1,2,3 IF THERE ARE ANY INPUTS PLEASE SEND THEM TO VIA HIGGINS 5.1 ENCLOSURE NLT COB 7 MAR. PLEASE ROUTE A COPY WELL SO SHE CAN CLOSE IT OUT ON THE ATS.

NOT3/05/GEPTABLE PSEARCH REPORTS MUST INCLUDE TERS, AND THE PERSON(S) RESPONSIBLE FOR THE

## Approved For Release 2003/05/15 : CIA-RDP96-00789R002500260005-2 DELEGATED OFFICES

| Office | Suspense | Time | Туре          |
|--------|----------|------|---------------|
|        |          |      |               |
| P-AS   | 03/08/94 | 0900 | $\mathtt{CL}$ |
| P-FM   | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PA     | 03/15/94 |      | IN            |
| PAA    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PAE    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PAG    | 03/10/94 | 1100 | PA            |
| PAM    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PAN    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PC-HQ  | 03/15/94 |      | IN            |
| PG     | 03/15/94 |      | IN            |
| PGI    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PGT    | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PO     | 03/08/94 | 0900 | $\mathtt{CL}$ |
| PO-4C  | 03/10/94 | 1100 | CL            |
|        |          |      |               |

#710.

## 2. (U) DISCUSSION:

- a. (U) DIA has determined that only one program (Project STAR GATE) falls within the definition of human subject research. This research is conducted in two areas: in-house activities conducted by DIA civilian personnel and external research activities conducted under DIA contract with the Science Applications International Corporation (SAIC).
- b. (S/NF) Both the DIA and DoD General Counsels have determined that the STAR GATE Project constitutes experimentation on human subjects. As required by Procedure 13 of DoD Directive 5240.1-R, approval for project activities has been granted by the Deputy Secretary of Defense. DIA personnel involved with in-house investigations are required to sign a Statement of Consent form approved by the DIA General Counsel. External research conducted by SAIC is in full compliance with all applicable laws, Federal regulations and DoD instructions.
- 3. (U) RECOMMENDATION: Request the Director sign the enclosed memorandum.

WARNING NOTICE-INTELLIGENCE SOURCES OR METHODS INVOLVED CLASSIFIED BY MULTIPLE SOURCES DECLASSIFY ON OADR

NOT RELEASABLE TO FOREIGN NATIONALS

## TASKING CONTROL FORM

UNCLASSIFIED Approved For Release 2003/05/15: CIA-RDP96-00789R002500260005-2 Validated Task Control No.: Slant No.: Subject: Subject Classification: UNCLASSIFIED PROTECTION OF HUMAN SUBJECTS IN RESEARCH .94-002605 0 No. Of Scanned Pages: Consumer: ASD/HA Office Of Entry: Primary Action: Tasking Authority: Validator: SG1J ESS PC ESS DIA Suspense: Consumer Suspense: Reference No.: Document Control No.: 03/15/94 03/16/94 ESO #0710 Guidance: Guidance Classification: UNCLASSIFIED 1. TASKER CONTAINS TWO PAGES SCANNED MATERIAL: OSD/ENVIRNOMENTAL AND LIFE SCIENCES MEMORANDUM ON PROTECTION OF HUMAN SUBJECTS IN RESEARCH 2. PER SCANNED MEMO, DIA HAS BEEN REQUESTED TO INDICATE WHETHER THE AGENCY CONDUCTS OR PROVIDES FUNDING SUPPORT FOR INTRAMURAL OR EXTRAMURAL RESERACH WHICH INVOLVES HUMAN SUBJECT PARTICIPATION. IF SO, A STATUS REPORT OF THE AGENCY PARTICIPATION IS REQUIRED. IF NOT, A WRITTEN NEGATIVE REPLY IS **NECESSARY.** 3. ESS OBTAINED THE REQUESTOR'S APPROVAL ON EXTENDING SUSPENSE FROM 4 MAR 94 TO 15 MAR 94. 3. PC SHOULD TAKE THE LEAD, AND PREPARE A CONSOLIDATED AGENCY RESPONSE FOR DR SIGNATURE. SG1J 4. ESS POC: SG1B \*\* EST SHOULD SCAN TWO PAGES. \*\* Collab: DA 03/11/94 ----CC 03/11/94 ----J2 03/11/94 ----MC 03/11/94 ----DC 03/11/94 ----PS 03/11/94 ----SC 03/11/94 ----Coord: GC 03/14/94 ----Info: ATS CS-X0 ES0 IG DR-XA ES MD SG1J SG1B Tasking Authority: ESS, DIA Form 311 Form BecomesUNCLASSIFIED